

Form 990-PF Department of the Treasury Internal Revenue Service

Return of Private Foundation or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No. 1545-0047

2021

Open to Public Inspection

Do not enter social security numbers on this form as it may be made public. Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2021, or tax year beginning 01-01-2021, and ending 12-31-2021

Name of foundation: BRIAN A MCCARTHY FOUNDATION INC. A Employer identification number: 26-2804661. Telephone number: (914) 381-8900. City: HARRISON, NY 105281633. G Check all that apply: Address change checked. H Check type of organization: Section 501(c)(3) exempt private foundation checked. I Fair market value of all assets at end of year: \$9,644,182. J Accounting method: Other (specify) modified cash checked.

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include Revenue (1-12) and Operating and Administrative Expenses (13-22).

Open	23	Other expenses (attach schedule)	1,907	187	1,780
	24	<b>Total operating and administrative expenses.</b> Add lines 13 through 23	89,668	48,630	32,038
	25	Contributions, gifts, grants paid	405,000		405,000
	26	<b>Total expenses and disbursements.</b> Add lines 24 and 25	494,668	48,630	437,038
	27	Subtract line 26 from line 12:			
	a	<b>Excess of revenue over expenses and disbursements</b>	-96,820		
	b	<b>Net investment income</b> (if negative, enter -0-)		349,128	
	c	<b>Adjusted net income</b> (if negative, enter -0-)			

For Paperwork Reduction Act Notice, see instructions.

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	1,416,926	918,424	918,424
	3	Accounts receivable			
		Less: allowance for doubtful accounts			
	4	Pledges receivable			
		Less: allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule)			
		Less: allowance for doubtful accounts			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)	294,215	289,131	289,131
	b	Investments—corporate stock (attach schedule)	455,348	560,557	560,557
	c	Investments—corporate bonds (attach schedule)	874,954	870,602	870,602
	11	Investments—land, buildings, and equipment: basis			
	Less: accumulated depreciation (attach schedule)				
12	Investments—mortgage loans				
13	Investments—other (attach schedule)	6,488,863	7,005,468	7,005,468	
14	Land, buildings, and equipment: basis				
	Less: accumulated depreciation (attach schedule)				
15	Other assets (describe)				
16	<b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)	9,530,306	9,644,182	9,644,182	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe)			
23	<b>Total liabilities</b> (add lines 17 through 22)	0	0		
Assets or Fund Balances	<b>Foundations that follow FASB ASC 958, check here</b> <input checked="" type="checkbox"/> <b>and complete lines 24, 25, 29 and 30.</b>				
	24	Net assets without donor restrictions	9,530,306	9,644,182	
	25	Net assets with donor restrictions			
	<b>Foundations that do not follow FASB ASC 958, check here</b> <input type="checkbox"/> <b>and complete lines 26 through 30.</b>				
26	Capital stock, trust principal, or current funds				
27	Paid-in or capital surplus, or land, bldg., and equipment fund				

<b>Net As</b>	<b>28</b> Retained earnings, accumulated income, endowment, or other funds		
	<b>29 Total net assets or fund balances</b> (see instructions)	9,530,306	9,644,182
	<b>30 Total liabilities and net assets/fund balances</b> (see instructions)	9,530,306	9,644,182

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b>	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	<b>1</b>	9,530,306
<b>2</b>	Enter amount from Part I, line 27a	<b>2</b>	-96,820
<b>3</b>	Other increases not included in line 2 (itemize)	<b>3</b>	210,696
<b>4</b>	Add lines 1, 2, and 3	<b>4</b>	9,644,182
<b>5</b>	Decreases not included in line 2 (itemize)	<b>5</b>	0
<b>6</b>	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	<b>6</b>	9,644,182

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1 a</b> PUBLICLY TRADED SECURITIES			
<b>b</b> CAPITAL GAINS DIVIDENDS	P		
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 1,708,134		1,687,590	20,544
<b>b</b> 178,097			178,097
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>a</b>			20,544
<b>b</b>			178,097
<b>c</b>			
<b>d</b>			
<b>e</b>			

Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	198,641
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	{ }	<b>3</b>	



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**Part V Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1.  <b>1a</b> Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)	Bracket for line 1a
All other domestic foundations enter 1.39% (0.0139) of line 27b. Exempt foreign organizations enter 4% (0.04) of Part I, line 12, col. (b)	
<b>b</b> Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>

<b>2</b>	Add lines 1 and 2. . . . .	<b>3</b>	0
<b>3</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	4,853
<b>4</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	<b>5</b>	0
<b>5</b>	Credits/Payments:		4,853
<b>6</b>			
<b>6a</b>	2021 estimated tax payments and 2020 overpayment credited to 2021	<b>6a</b>	10,152
<b>6b</b>	Exempt foreign organizations—tax withheld at source . . . . .	<b>6b</b>	0
<b>6c</b>	Tax paid with application for extension of time to file (Form 8868) . . . . .	<b>6c</b>	0
<b>6d</b>	Backup withholding erroneously withheld . . . . .	<b>6d</b>	0
<b>7</b>	Total credits and payments. Add lines 6a through 6d. . . . .	<b>7</b>	10,152
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	<b>8</b>	0
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . . ▶	<b>9</b>	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . . ▶	<b>10</b>	5,299
<b>11</b>	Enter the amount of line 10 to be: <b>Credited to 2022 estimated tax</b> ▶ 5,299   <b>Refunded</b> ▶	<b>11</b>	0

<b>Part VI-A Statements Regarding Activities</b>			
		<b>Yes</b>	<b>No</b>
<b>1a</b>	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	<b>1a</b>	No
<b>b</b>	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	<b>1b</b>	No
<b>c</b>	Did the foundation file <b>Form 1120-POL</b> for this year?. . . . .	<b>1c</b>	No
<b>d</b>	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: <b>(1)</b> On the foundation. ▶ \$ <u>0</u> <b>(2)</b> On foundation managers. ▶ \$ <u>0</u>		
<b>e</b>	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ <u>0</u>		
<b>2</b>	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities.</i>	<b>2</b>	No
<b>3</b>	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .	<b>3</b>	No
<b>4a</b>	Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	<b>4a</b>	No
<b>b</b>	If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?. . . . .	<b>4b</b>	
<b>5</b>	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T.</i>	<b>5</b>	No
<b>6</b>	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:  By language in the governing instrument, or  By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	<b>6</b>	Yes
<b>7</b>	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XIV.</i> . . . . .	<b>7</b>	Yes
<b>8a</b>	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ DE, NY		
<b>b</b>	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation .</i>	<b>8b</b>	Yes
<b>9</b>	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2021 or the taxable year beginning in 2021? See the instructions for Part XIII. <i>If "Yes," complete Part XIII</i> . . . . .	<b>9</b>	No
<b>10</b>	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i> . . . . .	<b>10</b>	No

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<b>Part VI-A Statements Regarding Activities (continued)</b>			
		<b>Yes</b>	<b>No</b>
<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. . . . .	<b>11</b>	No
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had		

**12** Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions . . . . . **12**  **No**

**13** Did the foundation comply with the public inspection requirements for its annual returns and exemption application? **13**  **Yes**

Website address **WWW.BRIANAMCCARTHY.COM**

**14** The books are in care of **BRIAN A MCCARTHY** Telephone no. **(914) 381-8900**

Located at **C/O PKFOD 500 MAMARONECK AVENUE HARRISON NY** ZIP+4 **105281633**

**15** Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here . . . . .  and enter the amount of tax-exempt interest received or accrued during the year . . . . . **15**

**16** At any time during calendar year 2021, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . **16**  **Yes**  **No**

See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country

**Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

**1a** During the year did the foundation (either directly or indirectly):

**(1)** Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . . **1a(1)**  **No**

**(2)** Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . **1a(2)**  **No**

**(3)** Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . . **1a(3)**  **Yes**

**(4)** Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . . **1a(4)**  **No**

**(5)** Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . **1a(5)**  **No**

**(6)** Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . . **1a(6)**  **No**

**b** If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. . . . . **1b**  **No**

**c** Organizations relying on a current notice regarding disaster assistance check here. . . . .

**d** Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2021? . . . . . **1d**  **No**

**2** Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):

**a** At the end of tax year 2021, did the foundation have any undistributed income (Part XII, lines 6d and 6e) for tax year(s) beginning before 2021? . . . . . **2a**  **No**

If "Yes," list the years **20\_\_\_, 20\_\_\_, 20\_\_\_, 20\_\_\_**

**b** Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.) . . . . . **2b**

**c** If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. **20\_\_\_, 20\_\_\_, 20\_\_\_, 20\_\_\_**

**3a** Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . **3a**  **No**

**b** If "Yes," did it have excess business holdings in 2021 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2021.) . . . . . **3b**

**4a** Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? **4a**  **No**

**b** Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2021? . . . . . **4b**  **No**

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**Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year did the foundation pay or incur any amount to:

**(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?. . . . . **5a(1)**  **No**

**(2)** Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? . . . . . **5a(2)**  **No**

**(3)** Provide a grant to an individual for travel, study, or other similar purposes? . . . . . **5a(3)**  **No**

**(4)** Provide a grant to an organization other than a charitable, etc., organization described

in section 4945(d)(4)(A)? See instructions. . . . .

**(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .

**b** If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions. . . . .

**c** Organizations relying on a current notice regarding disaster assistance check . . . . .

**d** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . .  
If "Yes," attach the statement required by Regulations section 53.4945–5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . .  
If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

**b** If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . .

**8** Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year? . . . . .

<b>5a(4)</b>		<b>No</b>
<b>5a(5)</b>		<b>No</b>
<b>5b</b>		
<b>5d</b>		
<b>6a</b>		<b>No</b>
<b>6b</b>		<b>No</b>
<b>7a</b>		<b>No</b>
<b>7b</b>		
<b>8</b>		<b>No</b>

**Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation. See instructions**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BRIAN A MCCARTHY C/O PKFOD 500 MAMARONECK AVENUE HARRISON, NY 105281633	DIRECTOR, PRESIDENT 7.00	0	0	0

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
<b>Total</b> number of other employees paid over \$50,000. . . . .				0

**Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
<b>Total</b> number of others receiving over \$50,000 for professional services. . . . .		0

**Part VIII-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
--	----------

1	
2	
3	
4	

**Part VIII-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
<b>Total.</b> Add lines 1 through 3	0

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**Part IX Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b> Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b> Average monthly fair market value of securities.	<b>1a</b>	8,407,711
<b>b</b> Average of monthly cash balances.	<b>1b</b>	1,272,967
<b>c</b> Fair market value of all other assets (see instructions).	<b>1c</b>	0
<b>d Total</b> (add lines 1a, b, and c).	<b>1d</b>	9,680,678
<b>e</b> Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	<b>1e</b>	0
<b>2</b> Acquisition indebtedness applicable to line 1 assets.	<b>2</b>	0
<b>3</b> Subtract line 2 from line 1d.	<b>3</b>	9,680,678
<b>4</b> Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions).	<b>4</b>	145,210
<b>5 Net value of noncharitable-use assets.</b> Subtract line 4 from line 3.	<b>5</b>	9,535,468
<b>6 Minimum investment return.</b> Enter 5% (0.05) of line 5.	<b>6</b>	476,773

**Part X Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b> Minimum investment return from Part IX, line 6.	<b>1</b>	476,773
<b>2a</b> Tax on investment income for 2021 from Part V, line 5.	<b>2a</b>	4,853
<b>b</b> Income tax for 2021. (This does not include the tax from Part V.)	<b>2b</b>	
<b>c</b> Add lines 2a and 2b.	<b>2c</b>	4,853
<b>3</b> Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	471,920
<b>4</b> Recoveries of amounts treated as qualifying distributions.	<b>4</b>	0
<b>5</b> Add lines 3 and 4.	<b>5</b>	471,920
<b>6</b> Deduction from distributable amount (see instructions).	<b>6</b>	0
<b>7 Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1.	<b>7</b>	471,920

**Part XI Qualifying Distributions** (see instructions)

<b>1</b> Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b> Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	<b>1a</b>	437,038
<b>b</b> Program-related investments—total from Part VIII-B.	<b>1b</b>	0
<b>2</b> Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	<b>2</b>	

<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required).	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule).	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part XII, line 4.	<b>4</b>	437,038

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Part XII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2020	(c) 2020	(d) 2021
<b>1</b> Distributable amount for 2021 from Part X, line 7				471,920
<b>2</b> Undistributed income, if any, as of the end of 2021:				
<b>a</b> Enter amount for 2020 only.			400,373	
<b>b</b> Total for prior years: 20___, 20___, 20___		0		
<b>3</b> Excess distributions carryover, if any, to 2021:				
<b>a</b> From 2016.				
<b>b</b> From 2017.				
<b>c</b> From 2018.				
<b>d</b> From 2019.				
<b>e</b> From 2020.				
<b>f</b> Total of lines 3a through e.	0			
<b>4</b> Qualifying distributions for 2021 from Part XI, line 4: \$ 437,038				
<b>a</b> Applied to 2020, but not more than line 2a			400,373	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions).		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions).	0			
<b>d</b> Applied to 2021 distributable amount.				36,665
<b>e</b> Remaining amount distributed out of corpus	0			
<b>5</b> Excess distributions carryover applied to 2021. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b.		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
<b>e</b> Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
<b>f</b> Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2022.				435,255
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
<b>8</b> Excess distributions carryover from 2016 not applied on line 5 or line 7 (see instructions).	0			
<b>9</b> Excess distributions carryover to 2022. Subtract lines 7 and 8 from line 6a.	0			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2017.				
<b>b</b> Excess from 2018.				
<b>c</b> Excess from 2019.				
<b>d</b> Excess from 2020.				
<b>e</b> Excess from 2021.				

Form 990-PF (2021)

Form 990-PF (2021)

Part XIII Private Operating Foundations (see instructions and Part VI-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2021, enter the date of the ruling.



Foundation, and the ruling is effective for 2021, enter the date of the ruling . . . . .

b Check box to indicate whether the organization is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year				(e) Total
	(a) 2021	(b) 2020	(c) 2019	(d) 2018	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part IX for each year listed . . . . .					
b 85% (0.85) of line 2a					
c Qualifying distributions from Part XI, line 4 for each year listed . . . . .					
d Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets . . . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part IX, line 6 for each year listed . . . . .					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

**Part XIV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XIV Supplementary Information (continued)**



**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

If recipient is an individual. . . . .

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
CALLEN-LORDE COMMUNITY HEALTH CENTER  356 WEST 18TH STREET NEW YORK, NY 100114401	N/A	PC	SUPPORT FOR COIN CLINIC, PATIENT DATABASE MIGRATION TO EPIC HR, AND INCREASING PATIENT CARE AT CALLEN-LORDES BROOKLYN & SATELLITE SITES.	50,000
COUNTER NARRATIVE PROJECTEQUALITY FOUNDATION OF GEORGIA  PO BOX 1363 ATLANTA, GA 303011363	N/A	PC	SUPPORT FOR NARRATIVE JUSTICE INITIATIVE, WEEKS OF ACTION, AND POLICY ADVOCACY.	50,000
IM FROM DRIFTWOOD INC  144 NORTH 7TH STREET BROOKLYN, NY 112492920	N/A	PC	CONTINUED SUPPORT FOR PODCAST, WEEKLY VIDEO STORIES, AND I'M FROM DRIFTWOOD WEBSITE.	50,000
SAN DIEGO LGBT COMMUNITY CENTER  3909 CENTER STREET SAN DIEGO, CA 921633555	N/A	PC	SUPPORT FOR HIV CASE MANAGEMENT, HOUSING NAVIGATION SERVICES, COUNSELING, AND HOMELESSNESS PREVENTION.	25,000
SAN DIEGO LGBT PRIDE  3620 30TH STREET SAN DIEGO, CA 921043555	N/A	PC	FUNDING FOR SAN DIEGO PRIDE LGBTQ YOUTH AND SCHOLARSHIP PROGRAMS.	35,000
THE AMERICAN FOUNDATION FOR AIDS RESEARCH  120 WALL STREET NEW YORK, NY 100113904	N/A	PC	CONTINUED FUNDING FOR COVID-19 RESEARCH AIMED AT BETTER UNDERSTANDING OF CO-INFECTION WITH THOSE DIAGNOSED WITH BOTH HIV + COVID- 19.	50,000
THE CENTER FOR HIV LAW AND POLICY  65 BROADWAY SUITE 832 NEW YORK, NY 100062503	N/A	PC	SUPPORT FOR THE NATIONAL SEXUAL HEALTH LITERACY CAMPAIGN: ENDING THE IGNORANCE THAT DISCOURAGES LGBT PEOPLE AND POC FROM ENTERING AND CONTINUING HIV DIAGNOSIS AND CARE.	50,000
THE HIV LEAGUE  240 KENT AVENUE UNIT 38 BROOKLYN, NY 112494121	N/A	PC	2022 COHORT OF HIV LEAGUE SCHOLARS AND THE HIV LEAGUES MICRO-SCHOLARSHIP.	15,000
UNLOCAL INC  45 WEST 29TH STREET SUITE 203 NEW YORK, NY 100014209	N/A	PC	FUNDING FOR THE QUEER IMMIGRANT JUSTICE PROJECT (QIJP) PROVIDING FREE LEGAL REPRESENTATION FOR LGBTQ+ IMMIGRANTS SEEKING LAWFUL IMMIGRATION STATUS.	30,000
WGBH EDUCATIONAL FOUNDATION  ONE GUEST STREET BOSTON, MA 021352016	N/A	PC	FUNDING FOR AN ARCHIVAL PROJECT ENTITLED THE HEALTH CARE COLLECTION WHICH INCLUDES THE AIDS QUARTERLY AND THE HEALTH QUARTERLY.	50,000
<b>Total . . . . .</b>				<b>405,000</b>
<b>b Approved for future payment</b>				

▶ 3a



Part XVI Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
a Transfers from the reporting foundation to a noncharitable exempt organization of:
(1) Cash.
(2) Other assets.
b Other transactions:
(1) Sales of assets to a noncharitable exempt organization.
(2) Purchases of assets from a noncharitable exempt organization.
(3) Rental of facilities, equipment, or other assets.
(4) Reimbursement arrangements.
(5) Loans or loan guarantees.
(6) Performance of services or membership or fundraising solicitations.
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

Table with 4 columns: (a) Line No., (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? [ ] Yes [x] No

b If "Yes," complete the following schedule. Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.
Signature of officer or trustee: \_\_\_\_\_ Date: 2022-03-11 Title: \_\_\_\_\_
May the IRS discuss this return with the preparer shown below? See instructions. [x] Yes [ ] No

Paid Preparer Use Only Print/Type preparer's name: THOMAS F BLANEY CPA Preparer's Signature: \_\_\_\_\_ Date: 2022-03-11 Check if self-employed: [ ] PTIN: P00234022
Firm's name: PKF O'CONNOR DAVIES LLP Firm's EIN: 27-1728945
Firm's address: 500 MAMARONECK AVENUE

Filing address 300 MAMARONECK AVENUE  
HARRISON, NY 105281633

Phone no. (914) 381-8900

Form **990-PF** (2021)

**Additional Data**

[Return to Form](#)

**Software ID:**  
**Software Version:**

**Form 990PF - Special Condition Description:**

Special Condition Description

[efile Public Visual Render](#) | **ObjectId: 202200769349100800 - Submission: 2022-03-17** | **TIN: 26-2804661**

**TY 2021 IRS 990 e-File Render**

**Name:** BRIAN A MCCARTHY FOUNDATION INC  
**EIN:** 26-2804661

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ANNUAL BOOKKEEPING AND TAX RETURN PREPARATION	10,258	0		10,258

<b>efile Public Visual Render</b>	<b>ObjectId: 202200769349100800 - Submission: 2022-03-17</b>	<b>TIN: 26-2804661</b>
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## TY 2021 IRS 990 e-File Render

**Name:** BRIAN A MCCARTHY FOUNDATION INC

**EIN:** 26-2804661

Name of Bond	End of Year Book Value	End of Year Fair Market Value
ACTIVISION BLIZZARD INC 2.500% 09/15/50 (00507VAQ2) 9,000 QTY	7,914	7,914
ALLEGHENY TECHNOLOGIES 5.125% 10/01/31 (01741RAM4) 8,000 QTY	8,060	8,060
AMERICAN HOMES 4 RENT L 2.375% 07/15/31 (02666TAC1) 6,000 QTY	5,887	5,887
AMERICREDIT AUTOMOBILE REC 3.500% 01/18/24 (03066HAF4) 9,000 QTY	7,293	7,293
ARES CAPITAL CORP 2.875% 06/15/28 (04010LBB8) 12,000 QTY	11,978	11,978
AT&T INC 3.650% 06/01/51 (00206RKA9) 12,000 QTY	12,474	12,474
AUTONATION INC 2.400% 08/01/31 (05329WAS1) 7,000 QTY	6,759	6,759
BANK MONTREAL QUE PFD CL 3.803% 12/15/32 (06368BGS1) 7,000 QTY	7,513	7,513
BANK OF AMER CORP 3.194% 07/23/30 (06051GHV4) 6,000 QTY	6,333	6,333
BOARDWALK PIPELINES LLC 3.400% 02/15/31 (096630AH1) 5,000 QTY	5,167	5,167
BOARDWALK PIPELINES LP 5.950% 06/01/26 (096630AE8) 6,000 QTY	6,877	6,877
BOEING CO 2.196% 02/04/26 (097023DG7) 6,000 QTY	6,000	6,000
BRUNSWICK CORP 2.400% 08/18/31 (117043AT6) 10,000 QTY	9,600	9,600
CARPENTER TECHNOLOGY 6.375% 07/15/28 (144285AL7) 3,000 QTY	3,190	3,190
CDW LLC / CDW FIN CORP 2.670% 12/01/26 (12513GBG3) 9,000 QTY	9,218	9,218
CDW LLC / CDW FIN CORP 4.250% 04/01/28 (12513GBD0) 5,000 QTY	5,163	5,163
CHARLES SCHWAB CORP 4.000% 12/11/69 (808513BJ3) 5,000 QTY	5,050	5,050
CHARTER COMM OPT LLC/CAP 3.950% 06/30/62 (161175CG7) 4,000 QTY	3,869	3,869

CITIGROUP INC 4.300% 11/20/26 (172967JC6) 12,000 QTY	13,284	13,284
CITIGROUP MRTG TR SER 2014- GC19 4.0230% 03/10/47 (17322AAD4) 10,000 QTY	10,503	10,503
COMMERCIAL METALS CO 4.875% 05/15/23 (201723AK9) 8,000 QTY	8,240	8,240
CROWDSTRIKE HLDGS INC 3.000% 02/15/29 (22788CAA3) 9,000 QTY	8,888	8,888
CVS HEALTH CORP 4.780% 03/25/38 (126650CY4) 5,000 QTY	6,111	6,111
DELTA AIR LINES 2020-AA 2.000% 12/10/29 (247361ZV3) 9,000 QTY	8,015	8,015
EAGLE MATERIALS INC 2.500% 07/01/31 (26969PAB4) 4,000 QTY	3,952	3,952
ENABLE MIDSTREAM PARTNER 3.900% 05/15/24 (292480AH3) 6,000 QTY	6,266	6,266
ESCROW LEHMAN BROS 0.000% 08/15/09 (524ESC9Q5) 85,000 QTY	85	85
FHLMC PL Q46279 3.500% 02/01/47 (3132WK6R4) 43,000 QTY	9,314	9,314
FHLMC PL QA3869 3.500% 10/01/49 (31339UJN1) 37,000 QTY	16,362	16,362
FHLMC PL RA3606 2.000% 10/01/50 (3133KKAF6) 63,000 QTY	51,046	51,046
FHLMC PL RA5552 3.0000% 07/01/51 (3133KMEZ4) 44,000 QTY	44,539	44,539
FHLMC PL RE6066 2.000% 10/01/50 (3133N3W34) 20,000 QTY	15,240	15,240
FHLMC PL ZN1448 4.000% 11/01/48 (3131Y7TD9) 24,000 QTY	4,336	4,336
FIRST AMERN FINL CORP 2.400% 08/15/31 (31847RAH5) 3,000 QTY	2,928	2,928
FNMA PL AL6970 3.500% 07/01/35 (3138EPW83) 34,000 QTY	4,763	4,763
FNMA PL AS6709 3.500% 02/01/36 (3138WGN34) 54,000 QTY	10,842	10,842
FNMA PL AS8157 4.50% 05/15/46 (3138WJB31) 27,000 QTY	7,466	7,466
FNMA PL B07242 3.000% 01/01/50 (3140K3BL5) 16,000 QTY	9,219	9,219
FNMA PL B08662 3.000% 03/01/50 (3140K4TU4) 8,000 QTY	2,882	2,882
FNMA PL BH5119 3.500% 08/01/47 (3140GTVM9) 22,000 QTY	6,462	6,462
FNMA PL BH7071 4.500% 12/01/47 (3140GV2D6) 20,000 QTY	5,995	5,995
FNMA PL BM7007 4.000% 09/01/48	1,228	1,228

FNMA PL BN7664 4.000% 07/01/49 (3140J6GR2) 7,000 QTY	1,220	1,220
FNMA PL BN7664 4.000% 07/01/49 (3140JQS5) 22,000 QTY	6,817	6,817
FNMA PL CA1711 4.500% 05/01/48 (3140Q83V5) 44,000 QTY	10,781	10,781
FNMA PL FM1090 4.000% 05/01/49 (3140X4F84) 28,000 QTY	9,106	9,106
FNMA PL FM1567 3.500% 08/01/49 (3140X4W51) 11,000 QTY	2,889	2,889
FNMA PL MA3058 4.000% 07/01/47 (31418CMG6) 70,000 QTY	13,419	13,419
FNMA PL MA4494 3.0000% 12/01/51 (31418D7G1) 12,000 QTY	12,397	12,397
FORD CR MAS OWN TR SER 2020-2 CL A 1.0600% 09/15/27 (34528QH0) 24,000 QTY	23,635	23,635
GENERAL MOTORS CO 6.125% 10/01/25 (37045VAV2) 5,000 QTY	5,746	5,746
GENL MOTORS CO 5.950% 04/01/49 (37045VAT7) 3,000 QTY	4,119	4,119
GOLDMAN SACHS GROUP INC 3.814% 04/23/29 (38141GWV2) 6,000 QTY	6,532	6,532
HANOVER INSURANCE GROUP 2.500% 09/01/30 (410867AG0) 6,000 QTY	5,972	5,972
HARTFORD HEALTHCARE CORP 3.447% 07/01/54 (41652PAC3) 5,000 QTY	5,340	5,340
HOLLYFRONTIER CORP 4.500% 10/01/30 (436106AC2) 9,000 QTY	9,703	9,703
HOLLYFRONTIER CORP 5.875% 04/01/26 (436106AA6) 4,000 QTY	4,488	4,488
INTEL CORP 4.750% 03/25/50 (458140BM1) 3,000 QTY	4,009	4,009
INVITATION HOMES OP 2.300% 11/15/28 (46188BAB8) 6,000 QTY	5,940	5,940
JETBLUE AIRWAYS CORP 2.750% 05/15/32 (477143AH4) 9,000 QTY	8,295	8,295
JPMORGAN CHASE & CO 2.956% 05/13/31 (46647PBP0) 11,000 QTY	11,401	11,401
JUNIPER NETWORKS 2.000% 12/10/30 (48203RAP9) 9,000 QTY	8,511	8,511
KINDER MORGAN INC 3.250% 08/01/50 (49456BAS0) 4,000 QTY	3,842	3,842
KINROSS GOLD CORP 5.950% 09/05/14 (496902AN7) 6,000 QTY	6,510	6,510
KOHL'S CORP 3.375% 05/01/31 (500255AX2) 8 000 QTY	8,156	8,156



L BRANDS INC 6.694% 01/15/27 (501797AQ7) 6,000 QTY	6,900	6,900
LAREDO PETROLEUM INC 9.500% 01/15/25 (516806AF3) 6,000 QTY	6,120	6,120
LEAR CORP 5.250% 05/15/49 (521865AZ8) 3,000 QTY	3,800	3,800
LEGGETT & PLATT INC 3.500% 11/15/51 (524660BA4) 9,000 QTY	9,065	9,065
LOWE'S COS INC 3.000% 10/15/50 (548661DZ7) 8,000 QTY	7,921	7,921
MACYS RETAIL HLDGS INC 7.000% 02/15/28 (55616XAC1) 6,000 QTY	6,645	6,645
MEGELLAN MIDSTREAM 3.950% 03/01/50 (559080AP1) 3,000 QTY	3,189	3,189
MORGAN STANLEY BAML TRUST 3.708% 05/15/46 (61762DAZ4) 10,000 QTY	10,203	10,203
NEWFIELD EXPLORATION CO 5.375% 01/01/26 (651290AR9) 9,000 QTY	9,973	9,973
NEWFIELD EXPLORATION CO 5.625% 07/01/24 (651290AQ1) 12,000 QTY	13,212	13,212
NORTHWELL HEALTHCARE INC 3.809% 11/01/49 (667274AD6) 3,000 QTY	3,338	3,338
OCCIDENTAL PETROLEUM 6.950% 07/01/24 (674599DB8) 3,000 QTY	3,327	3,327
OLD REP INTL CORP 3.850% 06/11/51 (680223AL8) 4,000 QTY	4,317	4,317
OLIN CORP 5.000% 02/01/30 (680665AK2) 4,000 QTY	4,200	4,200
OMEGA HEALTHCARE INVS 3.375% 02/01/31 (681936BM1) 5,000 QTY	5,042	5,042
PACIFICORP 6.250% 10/15/37 (695114CG1) 7,000 QTY	9,749	9,749
PACKAGING CORP OF AMER 3.050% 10/01/51 (695156AW9) 8,000 QTY	7,993	7,993
PBF HLDG CO LLC 7.250% 06/15/25 (69318FAG3) 11,000 QTY	7,796	7,796
PHYSICIANS REALTY LP 2.625% 11/01/31 (71951QAC6) 4,000 QTY	3,980	3,980
PLAINS ALL AMER PIPELINE 4.700% 06/15/44 (72650RBE1) 8,000 QTY	8,531	8,531
ROYAL CARIBBEAN CRUISES 5.250% 11/15/22 (780153AU6) 12,000 QTY	12,165	12,165
ROYALTY PHARMA PLC 3.350% 09/02/51 (78081BAP8) 6,000 QTY	5,761	5,761

SAFEWAY INC 7.450% 09/15/27 (786514AS8) 10,000 QTY	11,625	11,625
SCOTTS MIRACLE-GRO CO 5.250% 12/15/26 (810186AP1) 10,000 QTY	10,275	10,275
SLM CORP 3.125% 11/02/26 (78442PGE0) 5,000 QTY	4,950	4,950
SM ENERGY CO 6.500% 07/15/28 (78454LAW0) 6,000 QTY	6,210	6,210
SOUTHERN CALIF EDISON CO 4.125% 03/01/48 (842400GK3) 4,000 QTY	4,502	4,502
SOUTHWESTERN ENERGY CO 5.375% 03/15/30 (845467AS8) 2,000 QTY	2,143	2,143
STANFORD HEALTH CARE 3.310% 08/15/30 (85434VAB4) 6,000 QTY	6,453	6,453
SUNOCO LOGISTICS PARTNER 4.250% 04/01/24 (86765BAN9) 6,000 QTY	6,300	6,300
SYNOVUS FINANCIAL CORP 3.125% 11/01/22 (87161CAL9) 5,000 QTY	5,081	5,081
SYSCO CORP 6.000% 04/01/50 (871829BN6) 3,000 QTY	4,675	4,675
TANGER PROPERTIES LP 2.750% 09/01/31 (875484AL1) 7,000 QTY	6,802	6,802
TEVA PHARMACEUTICAL NE 3.150% 10/01/26 (88167AAE1) 8,000 QTY	7,520	7,520
TIME WARNER CABLE INC 6.550% 05/01/37 (88732JAJ7) 5,000 QTY	6,555	6,555
T-MOBILE USA INC 3.500% 04/15/31 (87264ABW4) 8,000 QTY	8,320	8,320
UNITED AIR 2014-2 A PTT 3.750% 03/03/28 (90932QAA4) 10,000 QTY	6,926	6,926
VALERO ENERGY CORP 3.650% 12/01/51 (91913YBD1) 15,000 QTY	14,959	14,959
VERIZON COMMUNICATIONS 3.150% 03/22/30 (92343VFE9) 3,000 QTY	3,177	3,177
VERIZON OWNER TRUST 2.930% 09/20/23 (92347YAA2) 5,000 QTY	1,285	1,285
WESTERN DIGITAL CORP 2.850% 02/01/29 (958102AQ8) 10,000 QTY	10,096	10,096
WORLD OMNI AUTO REC TR 3.200% 06/17/24 (98162QAD2) 18,000 QTY	18,164	18,164
WYNDHAM WORLDWIDE CORP 5.650% 04/01/24 (98310WAP3) 7,000 QTY	7,438	7,438

**efile Public Visual Render** | **ObjectId: 202200769349100800 - Submission: 2022-03-17** | **TIN: 26-2804661**

**TY 2021 IRS 990 e-File Render**

**Name:** BRIAN A MCCARTHY FOUNDATION INC  
**EIN:** 26-2804661

Name of Stock	End of Year Book Value	End of Year Fair Market Value
BERKSHIRE HATHAWAY INC CL B (BRK.B) 389.000 SHARES	116,311	116,311
COCA COLA CO (KO) 563.000 SHARES	33,335	33,335
GENL MILLS INC (GIS) 537.000 SHARES	36,183	36,183
INTL BUSINESS MACH (IBM) 270.000 SHARES	36,088	36,088
JPMORGAN CHASE & CO (JPM) 803.000 SHARES	127,155	127,155
KELLOGG CO (K) 448.000 SHARES	28,860	28,860
KYNDRYL HOLDINGS INC (KD) 53.000 SHARES	959	959
PFIZER INC (PFE) 852.000 SHARES	50,311	50,311
PROCTER & GAMBLE CO (PG) 252.000 SHARES	41,222	41,222
UNITED PARCEL SERVICE INC CL B (UPS) 293.000 SHARES	62,802	62,802
VERIZON COMMUNICATIONS INC (VZ) 526.000 SHARES	27,331	27,331

**efile Public Visual Render** | **ObjectId: 202200769349100800 - Submission: 2022-03-17** | **TIN: 26-2804661**

**TY 2021 IRS 990 e-File Render**

**Name:** BRIAN A MCCARTHY FOUNDATION INC  
**EIN:** 26-2804661

<b>US Government Securities - End of Year Book Value:</b>	289,131
<b>US Government Securities - End of Year Fair Market Value:</b>	289,131
<b>State &amp; Local Government Securities - End of Year Book Value:</b>	0
<b>State &amp; Local Government Securities - End of Year Fair Market Value:</b>	0

<b>efile Public Visual Render</b>	<b>ObjectId: 202200769349100800 - Submission: 2022-03-17</b>	<b>TIN: 26-2804661</b>
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## TY 2021 IRS 990 e-File Render

**Name:** BRIAN A MCCARTHY FOUNDATION INC

**EIN:** 26-2804661

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
AMER FUNDS NEW WORLD FUND CLASS F2 (NFFFX) 5,419.665 SHARES	FMV	464,682	464,682
AQR LONG-SHORT EQUITY FUND CLASS I (QLEIX) 23,262.603 SHARES	FMV	286,363	286,363
BLACKSTONE ALTERNATIVE MULTI-STRATEGY FUND CLASS I (BXMIX) 24,394.300 SHARES	FMV	258,580	258,580
DOUBLELINE FLEXIBLE INCOME FUND CLASS I (DFLEX) 41,782.770 SHARES	FMV	400,279	400,279
FS CHIRON CAPITAL ALLOCATION FUND CLASS I (CCAPX) 15,588.073 SHARES	FMV	243,953	243,953
INVESCO OPPENHEIMER DEVELOPING MARKETS Y (ODVYX) 7,760.775 SHARES	FMV	364,912	364,912
INVESCO OPPENHEIMER INTERNATIONAL BOND Y (OIBYX) 58,589.192 SHARES	FMV	299,391	299,391
LEGG MASON WESTERN ASSET MACRO OPPORTUNITIES FUND (LAOIX) 18,972.694 SHARES	FMV	217,996	217,996
LOOMIS SAYLES SR FLOATING RATE & FIXED INCOME FUND (LSFYX) 22,870.556 SHARES	FMV	205,606	205,606
LORD ABBETT CREDIT OPPORTUNITIES FUND CLASS A (LARAX) 24,912.672 SHARES	FMV	263,576	263,576
NEUBERGER STRATEGIC INCOME INSTITUTIONAL CLASS (NSTLX) 34,851.800 SHARES	FMV	387,203	387,203
PIONEER PIONEER	FMV	317,489	317,489

FUNDAMENTAL GROWTH FD CL Y CL Y (FUNYX) 9,817.210 SHARES			
PRINCIPAL EQUITY INCOME FUND CLASS I (PEIIX) 16,656.518 SHARES	FMV	685,915	685,915
PRINCIPAL SPECTRUM PRE AND CAP SEC INCOME FUND INS (PPSIX) 28,026.906 SHARES	FMV	289,518	289,518
PUTNAM SHORT DURATION BOND FUND CLASS Y (PARYX) 49,111.613 SHARES	FMV	497,992	497,992
PUTNAM ULTRA SHORT DURATION INCOME FUND CLASS Y (PSDYX) 49,787.497 SHARES	FMV	501,360	501,360
T. ROWE PRICE GLOBAL ALLOCATION FUND CLASS NL (RPGAX) 30,691.128 SHARES	FMV	476,019	476,019
VANGUARD INTERNATIONAL GROWTH FUND ADMIRAL (VWILX) 2,527.607 SHARES	FMV	352,096	352,096
VANGUARD TOTAL STOCK MKT ETF (VTI) 2,040.000 SHARES	FMV	492,538	492,538
<b>TY 2021 IRS 990 e-File Render</b>		<b>ObjectId: 202200769349100800 - Submission: 2022-03-17</b>	<b>TIN: 26-2804661</b>

**Name:** BRIAN A MCCARTHY FOUNDATION INC

**EIN:** 26-2804661

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FILING FEES	250	0		250
INSURANCE EXPENSE	750	0		750
MEMBERSHIP FEES	780	0		780
OTHER INVESTMENT EXPENSES	187	187		0

<b>efile Public Visual Render</b>	<b>ObjectId: 202200769349100800 - Submission: 2022-03-17</b>	<b>TIN: 26-2804661</b>
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### TY 2021 IRS 990 e-File Render

**Name:** BRIAN A MCCARTHY FOUNDATION INC

**EIN:** 26-2804661

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
DISTRIBUTIONS FROM ESCROW LEHMAN BROS BANKRUPTCY	307	307	307
2017 FEDERAL EXCISE TAX REFUND	90		90

<b>efile Public Visual Render</b>	<b>ObjectId: 202200769349100800 - Submission: 2022-03-17</b>	<b>TIN: 26-2804661</b>
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### TY 2021 IRS 990 e-File Render

**Name:** BRIAN A MCCARTHY FOUNDATION INC

**EIN:** 26-2804661

Description	Amount
UNREALIZED GAIN ON INVESTMENTS	210,696

<b>efile Public Visual Render</b>	<b>ObjectId: 202200769349100800 - Submission: 2022-03-17</b>	<b>TIN: 26-2804661</b>
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### TY 2021 IRS 990 e-File Render

**Name:** BRIAN A MCCARTHY FOUNDATION INC

**EIN:** 26-2804661

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
GRANTS MANAGER	20,000	0		20,000
INVESTMENT MANAGEMENT AND ADVISORY	48,443	48,443		0

<b>efile Public Visual Render</b>	<b>ObjectId: 202200769349100800 - Submission: 2022-03-17</b>	<b>TIN: 26-2804661</b>
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### TY 2021 IRS 990 e-File Render

**Name:** BRIAN A MCCARTHY FOUNDATION INC

**EIN:** 26-2804661

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX PAID	9,000	0		0